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RP007-21 Provision of Broker Dealer Services for Fixed Income Securities on an Annual Contract

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CODE OF ETHICS AFFIDAVIT

(THIS FORM SHOULD BE FULLY COMPLETED AND RETURNED WITH YOUR SUBMITTAL AND WILL BE REQUIRED PRIOR TO EVALUATION)

In accordance with Section 54-33 of the Gwinnett bidder/proposer makes the following full and con his/her knowledge, of the name(s) of all elected of or indirect pecuniary interest in or with the bidder/p	nplete disclos ficials whom i	Missing Motory
1. Multi-Bank Securities, Inc.		
(Company Submitting Bid/Proposal)		
2. (Please check v one box below)		Stand
☑ No information to disclose (complete only second)	ction 4 below)	
☐ Disclosed information below (complete section 3 & section		
3. (if additional space is required, please attach list)		
Gwinnett County Elected Official Name	Gwinnett Cou	nty Elected Official Name
Gwinnett County Elected Official Name	Gwinnett Cou	nty Elected Official Name
4. 11 ()	Sworn to and	subscribed before me this
BY: Authorized Officer or Agent Signature	day o	f, 20
Merlin Elsner	11	
Printed Name of Authorized Officer or Agent	Notary Public	
Chief Compliance Officer Title of Authorized Officer or Agent of Contractor		(seal)

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The ordinance will be available to view in its' entirety at www.gwinnettcounty.com

Multi-Bank Securities, Inc.°

Due to COVID-19, original signatures and/or notary seals cannot be provided. Electronic signatures have been provided in lieu of original signatures and are intended to hold the same weight and authority as original signatures.

Address 1000 Town Center, Suite 2300

Southfield, Michigan 48075

www.mbssecurities.com

Phone (800) 967-9045 (248) 291-1100

Fax (248) 291-1101

2400 East Commercial Boulevard, Suite 812 Member of FINRA & SIPC; MSRB Registered.

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