





### CODE OF ETHICS AFFIDAVIT

**(THIS FORM SHOULD BE FULLY COMPLETED AND RETURNED WITH YOUR SUBMITTAL AND WILL BE REQUIRED PRIOR TO EVALUATION)**

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of his/her knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the bidder/proposer, its affiliates, or its subcontractors:

1. FHN Financial Capital Markets & FHN Financial Securities Corp.  
(Company Submitting Bid/Proposal)

2. (Please check  one box below)

No information to disclose *(complete only section 4 below)*

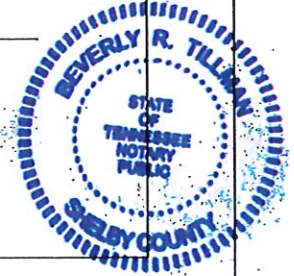
Disclosed information below *(complete section 3 & section 4 below)*

3. (if additional space is required, please attach list)

_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name

4. Sworn to and subscribed before me this  
BY: *Elizabeth Schulzke* 30th day of November, 2021  
Authorized Officer or Agent Signature  
Elizabeth Schulzke  
Printed Name of Authorized Officer or Agent  
Compliance Officer  
Title of Authorized Officer or Agent of Contractor

*Beverly R. Tillman*  
Notary Public  
August 3, 2025  
Commission Expires  
(seal)



Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The ordinance will be available to view in its' entirety at [www.gwinnettcounty.com](http://www.gwinnettcounty.com)





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1. Great Pacific Securities  
(Company Submitting Bid/Proposal)

2. (Please check  one box below)  
 No information to disclose (complete only section 4 below)  
 Disclosed information below (complete section 3 & section 4 below)

3. (if additional space is required, please attach list)

_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name

4. BY:   
Authorized Officer or Agent Signature

Sworn to and subscribed before me this  
1 day of December, 2021

David Swoish  
Printed Name of Authorized Officer or Agent

Notary Public  
**See Attached Certificate**

President  
Title of Authorized Officer or Agent of Contractor

(seal)

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The ordinance will be available to view in its' entirety at [www.gwinnettcounty.com](http://www.gwinnettcounty.com)

# JURAT

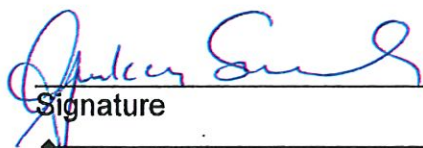
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Orange

Subscribed and sworn to (or affirmed) before me on this 1<sup>st</sup> day of December,  
2021 by David Swoish

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

  
Signature \_\_\_\_\_ (Seal)



## OPTIONAL INFORMATION

### DESCRIPTION OF THE ATTACHED DOCUMENT

Code of Ethics Affidavit  
(Title or description of attached document)

\_\_\_\_\_  
(Title or description of attached document continued)

Number of Pages 1 Document Date 12/01/21

\_\_\_\_\_  
Additional information

## INSTRUCTIONS

*The wording of all Jurats completed in California after January 1, 2015 must be in the form as set forth within this Jurat. There are no exceptions. If a Jurat to be completed does not follow this form, the notary must correct the verbiage by using a jurat stamp containing the correct wording or attaching a separate jurat form such as this one with does contain the proper wording. In addition, the notary must require an oath or affirmation from the document signer regarding the truthfulness of the contents of the document. The document must be signed AFTER the oath or affirmation. If the document was previously signed, it must be re-signed in front of the notary public during the jurat process.*

- State and county information must be the state and county where the document signer(s) personally appeared before the notary public.
- Date of notarization must be the date the signer(s) personally appeared which must also be the same date the jurat process is completed.
- Print the name(s) of the document signer(s) who personally appear at the time of notarization.
- Signature of the notary public must match the signature on file with the office of the county clerk.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different jurat form.
  - ❖ Additional information is not required but could help to ensure this jurat is not misused or attached to a different document.
  - ❖ Indicate title or type of attached document, number of pages and date.
- Securely attach this document to the signed document with a staple.



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1. Mischler Financial Group, Inc.  
(Company Submitting Bid/Proposal)

2. (Please check  one box below)

No information to disclose *(complete only section 4 below)*

Disclosed information below *(complete section 3 & section 4 below)*

3. (if additional space is required, please attach list)

\_\_\_\_\_  
Gwinnett County Elected Official Name

\_\_\_\_\_  
Gwinnett County Elected Official Name

\_\_\_\_\_  
Gwinnett County Elected Official Name

\_\_\_\_\_  
Gwinnett County Elected Official Name

4.

Sworn to and subscribed before me this

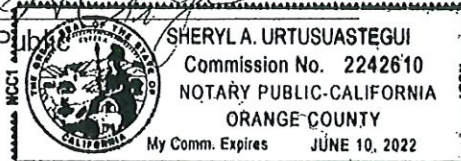
BY:   
Authorized Officer or Agent Signature

9th day of December, 2021

Doyle L. Holmes

Printed Name of Authorized Officer or Agent

Notary Public



President

Title of Authorized Officer or Agent of Contractor

(seal)

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The ordinance will be available to view in its' entirety at [www.gwinnettcounty.com](http://www.gwinnettcounty.com)







# RAYMOND JAMES®

December 1, 2021

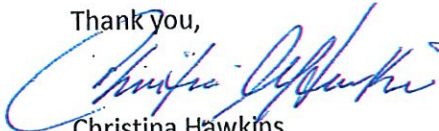
Gwinnett County  
ATTN: Dana Garland  
75 Langley Drive  
Lawrenceville, GA 30046-6935

Re: Addendum 2

Dear Ms. Garland,

Please be advised that, due to COVID-19, original signatures and notary seals cannot be provided at this time.

Thank you,



Christina Hawkins  
Vice President  
Fixed Income Sales Administration



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1. RBC Capital Markets LLC  
(Company Submitting Bid/Proposal)

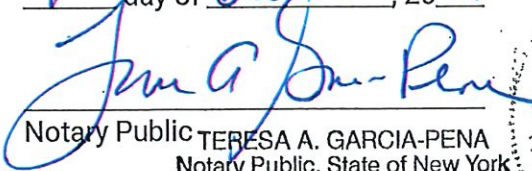
2. (Please check  one box below)  
 No information to disclose (complete only section 4 below)  
 Disclosed information below (complete section 3 & section 4 below)

3. (if additional space is required, please attach list)

_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name

4. Sworn to and subscribed before me this  
1<sup>st</sup> day of December, 20 21

BY:   
Authorized Officer or Agent Signature  
Joseph DiPaolo  
Printed Name of Authorized Officer or Agent  
Director  
Title of Authorized Officer or Agent of Contractor

  
Notary Public TERESA A. GARCIA-PENA  
Notary Public, State of New York  
No. 01GA6202098  
Qualified in New York County  
Commission Expires March 9, 20 25

(seal)

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The ordinance will be available to view in its' entirety at [www.gwinnettcounty.com](http://www.gwinnettcounty.com)



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1. Robert W. Baird & Co. Incorporated  
(Company Submitting Bid/Proposal)

2. (Please check  one box below)  
 No information to disclose (complete only section 4 below)  
 Disclosed information below (complete section 3 & section 4 below)

3. (if additional space is required, please attach list)

_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
_____	_____
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name

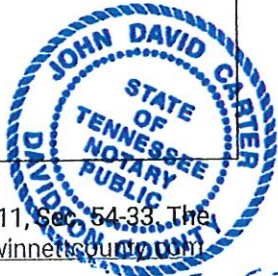
4. Sworn to and subscribed before me this  
6<sup>th</sup> day of December, 2021

BY: Nicholas Gomes  
Authorized Officer or Agent Signature

Nicholas Gomes  
Printed Name of Authorized Officer or Agent

Fixed Income Capital Markets Supervision Officer  
Title of Authorized Officer or Agent of Contractor

Notary Public  
(seal)



Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The ordinance will be available to view in its' entirety at [www.gwinnettcountry.com](http://www.gwinnettcountry.com)



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**1. VINING-SPARKS IBG, LLC**  
(Company Submitting Bid/Proposal)

2. (Please check  **one** box below)

No information to disclose (complete only section 4 below)

Disclosed information below (complete section 3 & section 4 below)

3. (if additional space is required, please attach list)

\_\_\_\_\_ Gwinnett County Elected Official Name

\_\_\_\_\_ Gwinnett County Elected Official Name

\_\_\_\_\_ Gwinnett County Elected Official Name

\_\_\_\_\_ Gwinnett County Elected Official Name

4. BY   
Authorized Officer or Agent Signature

Sworn to and subscribed before me this  
30<sup>th</sup> day of November, 2021

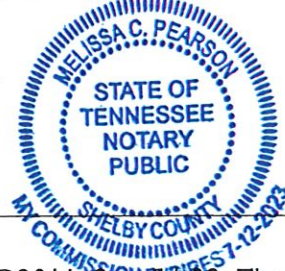
Demetri Patikas  
Printed Name of Authorized Officer or Agent

Melissa C. Pearson  
Notary Public

EVP and Director of Sales

\_\_\_\_\_ Title of Authorized Officer or Agent of Contractor

(seal)



Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec 54-33. The ordinance will be available to view in its' entirety at [www.gwinnettcountry.com](http://www.gwinnettcountry.com)