



December 3, 2021

**Addendum 3
RP007-21
Provision of Broker Dealer Services for Fixed Income Securities on an Annual Contract**

Clarification:

- C1. Section IV: 2.e. asks for SAS No. 70 which no longer exists. Gwinnett County requests that service providers include a SOC 1 or equivalent audit report instead. Please include this report as a pdf only on the flash drive with the technical proposal. A hard copy of the SOC 1 report is not required.**

Acknowledge receipt of this addendum on Page 12 of the proposal document.

Sincerely,

A handwritten signature in blue ink, appearing to read "Dana Garland".

Dana Garland, CPPB, NIGP-CPP
Purchasing